

# Individual broker registration

As part of the registration process, please complete the below information and return to [brokersupport@krbs.com](mailto:brokersupport@krbs.com)  
Completion of this form will allow us to satisfy required due diligence checks and process your registration.

Name

Company

## Please answer the below questions

Do you have relevant permissions to give advice on regulated mortgages? Yes  No

Do you have relevant permissions to give advice on consumer BTLs? Yes  No

What is your company's website address?

Are you aware of any pending FCA disciplinary action being taken against you or your company? Yes  No

Have you or your company had a complaint from the FOS or any other regulatory body upheld against you within the last 12 months? If **"Yes"** please provide further details in the box below. Yes  No

Do you have a complaints policy? Yes  No

Do you have a process in place to maintain your professional competence? Yes  No

Have you been declared bankrupt within the last 6 years? If **"Yes"** please complete the **'Additional Bankruptcy Questions'** on the next page Yes  No

Have you or your company ever been declined appointment to or removed from a Lender Panel (excluding a lender data cleanse due to business volumes)? If **"Yes"** please provide further details in the box below. Yes  No

Additional notes

## Preferred mortgage club

3mc	<input type="checkbox"/>	MCI Club	<input type="checkbox"/>	TMA	<input type="checkbox"/>
Brilliant solutions	<input type="checkbox"/>	Paradigm	<input type="checkbox"/>	Next Intelligence	<input type="checkbox"/>
Dynamo	<input type="checkbox"/>	PMS	<input type="checkbox"/>		
Legal & General	<input type="checkbox"/>	Simplybiz	<input type="checkbox"/>		

## Additional bankruptcy questions

Please only complete the remainder of this document if you answered "Yes" to the bankruptcy question.

Bankruptcy date

Date of discharge

### Circumstances of bankruptcy

Further details of exact circumstances required

Financial services related?

Amount of bankruptcy?

Voluntary payment towards clearing bankruptcy or auto discharged?

Do you have any panel exclusions as a result of the bankruptcy?

Yes  No

If "Yes" please provide further details in the box below.

Please state if you are one of the following:

Directly Authorised

Appointed Representative

If you are Directly Authorised, please advise who you are obtaining compliance support from, the frequency of their visits and auditing?

If you are an Appointed Representative, please confirm your network?

Size of firm

Number of advisers – all registered with Kent Reliance, Interbay or Prestige?

Position within the company? (Shareholder, director, employee, self-employed?)

Any other information